Cell-Free DNA Next-Generation Sequencing in Pancreatobiliary Carcinomas

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ABSTRACT

Patients with pancreatic and biliary carcinomas lack personalized treatment options, in part because biopsies are often inadequate for molecular characterization. Cell-free DNA (cfDNA) sequencing may enable a precision oncology approach in this setting. We attempted to prospectively analyze 54 genes in tumor and cfDNA for 26 patients. Tumor sequencing failed in 9 patients (35%). In the remaining 17, 90.3% (95% confidence interval, 73.1%–97.5%) of mutations detected in tumor biopsies were also detected in cfDNA. The diagnostic accuracy of cfDNA sequencing was 97.7%, with 92.3% average sensitivity and 100% specificity across five informative genes. Changes in cfDNA correlated well with tumor marker dynamics in serial sampling ($r = 0.93$). We demonstrate that cfDNA sequencing is feasible, accurate, and sensitive in identifying tumor-derived mutations without prior knowledge of tumor genotype or the abundance of circulating tumor DNA. cfDNA sequencing should be considered in pancreatobiliary cancer trials where tissue sampling is unsafe, infeasible, or otherwise unsuccessful.

SIGNIFICANCE: Precision medicine efforts in biliary and pancreatic cancers have been frustrated by difficulties in obtaining adequate tumor tissue for next-generation sequencing. cfDNA sequencing reliably and accurately detects tumor-derived mutations, paving the way for precision oncology approaches in these deadly diseases. Cancer Discov; 5(10); 1040–8. ©2015 AACR.

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDA) has among the lowest survival rates of all cancers (1). Genomic profiling has not yet affected treatment or diagnosis, excluding most patients with PDA from the molecular revolution reshaping the care of lung cancers, melanoma, and breast cancers (2, 3). PDA remains largely refractory to genomic testing for at least two reasons. First, high stromal cell content often confounds analysis of biopsy specimens (4). Second, only 10% of PDA...
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Case reports are resectable, and, of the resectable cases that have been enrolled in gene sequencing studies (5), most have tumor cellularity below 30%, with many much lower (6). As a result, most genomic research in PDA has centered around tumor-derived cell lines or xenografts in lieu of direct tumor sequencing (7), and expert bodies currently make no recommendations regarding molecular profiling for clinical decision making in PDA (8), despite potentially sensitive subsets identified by sequencing (9).

Translational research and precision medicine efforts face similar tissue acquisition challenges in cancers of the biliary tree. These malignancies often harbor actionable mutations, and dramatic responses have been seen when such mutations are identified (10–12). However, biliary cancers are often diagnosed via ductal brushings obtained during endoscopic retrograde cholangiopancreatography, which often yields insufficient material for molecular testing. Therefore, neither molecular profiling nor targeted therapy is standard practice (13), despite the presence of many molecular targets (10, 14).

The ability to detect cancer mutations in blood provides a possible solution to these and many other challenges. Advanced-stage tumors often shed cell-free DNA (cfDNA) into the bloodstream, which can be isolated from a noninvasive blood draw and then detected by PCR-based assays (15) or next-generation sequencing (NGS)–based testing (16). cfDNA sequencing could obviate the costs, complications, and delays associated with tissue biopsy in patients with pancreatobiliary cancer. An added potential benefit of the cfDNA approach is the ability to monitor the quantities and identities of tumor-derived genetic lesions over time via routine and minimally invasive blood draws.

cfDNA is more abundant in patients with pancreatic cancer than in healthy controls, although the fraction that is tumor-derived is not known. More than 75% of metastatic PDAs have tumor-derived cfDNA detectable by PCR-based, single-gene methods (15). The concordance between mutations observed in cfDNA with those seen in primary or metastatic PDA tumor tissue also remains unknown. In addition, it is not known how NGS-based multigene panels perform in biliary cancers, which is an especially relevant consideration in cholangiocarcinoma because numerous targetable driver mutations have been recently reported using tumor DNA (13), despite the presence of many molecular targets (10, 14).

We designed a prospective clinical study to determine the diagnostic accuracy of cfDNA sequencing in pancreatobiliary patients (Fig. 1A). This is the first study of its kind in these deadly diseases and one of the first prospective studies to measure concordance between commercially available NGS gene-panel tests of tumor tissue biopsies versus plasma-derived cfDNA.

RESULTS

To assess the feasibility and efficacy of cfDNA sequencing-based testing, 26 patients with advanced pancreatic or biliary carcinomas that had tumor material available underwent blood draws for cfDNA testing. The cohort included 18 PDA cases and 8 biliary cancer cases, with 23 patients having metastatic disease (Supplementary Table S1). The blood samples were sent to a single commercial NGS cfDNA sequencing provider for gene-panel sequencing (see Methods). In parallel, tumor biopsy specimens were sectioned from formalin-fixed, paraffin-embedded blocks and sent to two different commercial NGS test providers for tumor DNA gene-panel sequencing.

Seventy-three somatic mutations were reported from the union of all tumor and cfDNA tests across the entire cohort of 26 patients (mean = 2.8 mutations per patient; Supplementary Table S2). Mutation frequencies were determined per gene, and the mutation status of the seven most frequently mutated genes across the cohort was compared using an oncprint (17) frequency chart (Fig. 1B). KRAS and TP53 were the most commonly mutated genes, with APC, SMAD4, GNAS, FBXW7, and BRAF also being recurrently mutated in cfDNA (Fig. 2A). Patients were grouped into four categories based on the two sets of reports: concordant, partially concordant, tumor DNA not detected in cfDNA (TND), or quantity not sufficient for tissue-based sequencing (QNS). Reports from 13 patients were completely concordant (50%), three were partially concordant (12%), one was TND (4%), and eight were QNS (35%; Fig. 2B). Three patients (one TND and two partially concordant, 12% of cohort) each had one somatic mutation detected in tumor biopsy that should have been detected in cfDNA, were there sufficient quantities of tumor-derived DNA in circulation. Notably, 9 patients (35% of cohort) had insufficient quantity or quality of biopsy material for genomic DNA sequencing, presumably due to the difficulties associated with tissue acquisition from these anatomically problematic and highly impure tumors. cfDNA sequencing identified mutations in 78% (7/9) of these QNS cases, with the other 2 patients having no mutations detected in plasma (Fig. 1B).

We performed gene-level sensitivity and specificity analyses of the cfDNA test for five genes mutated in more than one patient’s tumor tissue biopsy. For the sake of comparisons herein, we considered the tissue biopsy gene-panel test as the gold standard. Across these five genes (KRAS, TP53, APC, FBXW7, and SMAD4), the average sensitivity was 92.3% (range, 50%–100%), specificity was 100%, and average diagnostic accuracy was 97.7% (range, 93%–100%; Table 1). Only KRAS and TP53 were mutant in more than 3 patients’ cfDNA.

Out of the 26 total mutations detected for both of these genes, only a single TP53 mutation was detected in tumor biopsy but not in cfDNA (Fig. 2C, patient #60). Two of the three TP53 mutations observed in patient #68 were detected only in cfDNA and were not included in this analysis as they were likely subclonal (Fig. 2C; Supplementary Table S2; see Methods for subclonal definition). When the cfDNA test was considered as the gold standard, the accuracy (97.7%), sensitivity (100%), and specificity (96.7%) were similar, reflecting the high level of concordance between the two platforms.

The cfDNA NGS test examined here interrogated 54 genes, allowing concordance assessments beyond binary present/absent metrics attendant to single-gene approaches. Seventeen patients were deemed evaluable for concordance analysis between plasma and tissue sequencing (i.e., they were not QNS). These 17 patients had a total of 35 mutations reported from plasma and from tumor biopsy. Of the 31 mutations detected by tumor biopsy NGS, 28 were also detected by the cfDNA test (90.3% overlap; 95% confidence interval, 73.1%–97.5%). The seven mutations reported exclusively by the tumor-sequencing test or by the plasma sequencing test are shown in...
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**Figure 1.** A, study design for measuring the feasibility, concordance, and accuracy of a plasma-based cfDNA sequencing test compared with biopsy-based sequencing tests for patients with pancreatic and biliary cancers (in accordance with Standards for the Reporting of Diagnostic accuracy studies [STARD]). Sixteen patients had mutations detected by either type of test, and 1 patient had no mutations detected in either plasma or in tumor tissue (n = 17). B, Onco-print chart showing mutation occurrence for the top seven genes across all patients. The group at left (“Concordance”) shows mutations detected in cfDNA from the eight QNS samples used for the concordance analysis (see Fig. 2B). The group at right (“QNS”) shows mutations detected in cfDNA from the eight QNS samples. TND, tumor DNA not detected in cfDNA.
Fig. 2. Summary of mutational concordance across 26 patients with pancreatobiliary cancer. A, numbers of mutations detected per gene by the cfDNA test across the cohort (49 mutations total). B, top, numbers of matched biopsy DNA and plasma cfDNA samples in each patient-level concordance category. Concordant samples are those with all reported mutations found in both biopsy-based and plasma-based sequencing tests. Partial concordance occurred if at least one mutation, but not all mutations, was concordant between biopsy DNA and plasma cfDNA. Bottom, Venn diagram showing overlap of reported mutations between cfDNA and tumor biopsy sequencing tests for 17 patients. C, details of the seven nonconcordant mutations from the 17 patients in A.

As discussed below, one of these was a somatic mutation in a distinct tissue compartment (patient #56, JAK2V617F), and another was likely due to the level of circulating tumor DNA being below the limit of detection for cfDNA sequencing (patient #69, KRASG12D).

To test if mutation frequencies in cfDNA quantitatively correlated with disease progression or response to therapy, 8 patients were monitored by serial cfDNA tests while on various therapies. Standard tumor markers were assayed concurrently and compared over time with mutation percentages in cfDNA (Supplementary Fig. S1A–S1F; Supplementary Table S3). The cfDNA allele fraction of the most abundant mutation was compared with the level of tumor marker for each patient. For 19 blood-draw intervals (range, 41–166 days) across these 8 patients, the direction of change in tumor marker and cfDNA percentage agreed significantly more often than by chance (P = 0.02, exact binomial test). In addition, changes over time in the cfDNA mutation percentage correlated well with changes in tumor marker measurements (Pearson r = 0.69 for interval slopes, r = 0.93 for interval differences). These data suggested that cfDNA mutant allele fraction changes reflect changes in disease burden over time and treatment.

Clinically meaningful mutations were detected in patients in whom commercial tumor DNA sequencing was not possible due to tissue biopsy failure (QNS). Surprisingly, a canonical activating EGFR exon 19 deletion (18) was detected in the blood of PDA patient #34. Attempts at tumor NGS testing at the time of the PDA diagnosis were made, but the tissue biopsy sample failed clinical tumor sequencing. The patient received Leucovorin Calcium (Folinic Acid), Fluorouracil, Irinotecan Hydrochloride, and Oxaliplatin (FOLFIRINOX) with stabilization of disease, but eventually developed hematologic toxicity requiring dose reduction followed by cessation of cytotoxic therapy. Upon progression, a repeat biopsy was obtained and again sent for commercial NGS, which this time confirmed the EGFR deletion seen at diagnosis in the blood, nearly 7 months prior. The patient was then treated...
with capcitabine and the approved EGFR inhibitor erlotinib, resulting in radiographic response, functional improvement, and CA 19-9 normalization (Fig. 3A–C). This patient is alive and on erlotinib monotherapy (as of June 3, 2015). A second patient with cholangiocarcinoma had a \textit{BRAF} \text{D594G} mutation, which is found in lung cancer and has also been reported in a patient with cetuximab-responsive colorectal cancer (19), detected in cfDNA. This patient declined cytotoxic therapy (gemcitabine and cisplatin; ref. 20) and was lost to follow up.

An \textit{FGFR2} \text{S252L} mutation was detected in the blood of patient #35 at diagnosis, which was confirmed by commercial tumor biopsy NGS. The patient first responded to and then progressed on gemcitabine and cisplatin, and upon progression enrolled in an FGFR inhibitor clinical trial using genotype selection at an outside institution (NCT02150967).

Additional relevant mutations were observed in cfDNA that were not detected by tumor biopsy NGS. One patient (#56) showed a decrease in \textit{KRAS} \text{G12V} titer on gemcitabine and nab-paclitaxel, but a concurrent slight increase in \textit{JAK2} \text{V617F} titer, an allele implicated in myeloproliferative diseases (ref. 21; Supplementary Fig. S1F). \textit{JAK2} \text{V617F} was absent from the commercial tumor sequencing report, but was detected by PCR in 0.47% of circulating white blood cells (data not shown). These findings suggest that the \textit{KRAS} \text{G12V} mutation was present in the PDA tumor cells, which responded to gemcitabine and nab-paclitaxel treatment. On the other hand, the \textit{JAK2} \text{V617F} mutation was present in hematopoietic cells not affected by this treatment (22).

**DISCUSSION**

Precision oncology requires DNA profiling of tumor-derived somatic mutations, which in turn can predict sensitivity or resistance to particular therapies. Despite a real unmet need for improved therapies for pancreatobiliary cancers, clinical efforts to develop genotype-specific treatments in these diseases have mostly failed at an early stage due to our inability to reliably, safely, and reproducibly obtain tissue for genomic analysis, especially in metastatic patients most in need of effective systemic therapies. As such, no molecular characterization

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**Table 1. Contingency table for determining diagnostic sensitivity, specificity, and accuracy for the most frequently mutated genes among cohort**

<table>
<thead>
<tr>
<th>Mutation present (tumor)</th>
<th>Mutation absent (tumor)</th>
<th>Sensitivity (%)</th>
<th>Specificity (%)</th>
<th>PPV (%)</th>
<th>NPV (%)</th>
<th>False-positive rate (%)</th>
<th>False-negative rate (%)</th>
<th>Diagnostic accuracy (%)</th>
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</thead>
<tbody>
<tr>
<td>cfDNA positive (\textit{KRAS})</td>
<td>10</td>
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<td>—</td>
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<td>—</td>
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<td>0</td>
<td>7</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>cfDNA positive (\textit{TP53})</td>
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<td>0</td>
<td>—</td>
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<tr>
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<td>7</td>
<td>90</td>
<td>100</td>
<td>100</td>
<td>88</td>
<td>0</td>
<td>10</td>
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<td>cfDNA positive (\textit{APC})</td>
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<td>—</td>
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<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>cfDNA negative (\textit{APC})</td>
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<td>15</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>100</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>cfDNA positive (\textit{FBXW7})</td>
<td>2</td>
<td>0</td>
<td>—</td>
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<td>100</td>
<td>100</td>
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<td>100</td>
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<td>0</td>
</tr>
<tr>
<td>cfDNA positive (\textit{SMAD4})</td>
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<tr>
<td>cfDNA negative (\textit{SMAD4})</td>
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<td>50</td>
<td>100</td>
<td>100</td>
<td>94</td>
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<td>50</td>
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<tr>
<td>Total positive</td>
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<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Total negative</td>
<td>2</td>
<td>0</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>Total (positive + negative)</td>
<td>26</td>
<td>59</td>
<td>92.3</td>
<td>(73.4–98.7)</td>
<td>100</td>
<td>(82.8–100)</td>
<td>99.1</td>
<td>(87.6–99.4)</td>
</tr>
</tbody>
</table>

**NOTE:** cfDNA-test–positive and cfDNA-test–negative mutation counts are shown for the top five genes in the first two columns. Only genes with at least two mutations in tumor tissue were included in this analysis. 95% confidence intervals are given below the totals in bottom row. Overall sensitivity, specificity, and diagnostic accuracy across the five genes are indicated in bold.

Abbreviations: NPV, negative predictive value; PPV, positive predictive value.
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Figure 3. Treatment and diagnosis history, and response to targeted therapy, of a patient with pancreatic adenocarcinoma with an EGFR exon 19 deletion. A, CA 19-9 levels (x-axis) over time of treatment (y-axis) for patient #34. Dates of two biopsy-based NGS tests, and of EGFR indel detection by cfDNA test, are indicated along the CA 19-9 line. Time intervals for therapies administered to patient are indicated by bracketed lines at top. Erlotinib monotherapy is ongoing as of June 3, 2015. B, axial (top) and coronal (bottom) contrast-enhanced CT images from October 2014 demonstrate infiltrative tumor (arrows) arising from the pancreatic body and encasing the celiac axis. C, axial (top) and coronal (bottom) contrast-enhanced CT images from February 2015 demonstrate marked reduction in the size of the pancreatic mass. FOLFIRINOX, Leucovorin Calcium (Folinic Acid), Fluorouracil, Irinotecan Hydrochloride, Oxaliplatin; CAPOX, Capecitabine and Oxaliplatin.

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is currently recommended for these diseases (8, 23), despite a desperate need for improved treatment approaches.

Our results are the first of their kind to demonstrate that cfDNA-based NGS of a gene panel is feasible and accurately detects tumor-derived mutations in advanced cancer without a priori knowledge of tumor genotype or cfDNA burden. Whereas tumor biopsy–based tests detected mutations in 62% of patients, cfDNA testing did so in 85% of patients. Thus, 23% of our cohort (22 patients vs. 16 patients) arguably stood to benefit from the cfDNA test over, or in addition to, tumor biopsy–based tests. The cfDNA test examined in this study detected over 90% of the mutations seen by tumor biopsy sequencing (within the 54 genes on the cfDNA test panel), and had high sensitivity and specificity across the five most frequently mutated genes. Because we were able to measure concordance on only 17 patients, future studies are needed to establish the robustness of this analysis. An additional 23 mutations were detected in 13 genes by the larger capture footprint of biopsy-based testing that were not covered by the cfDNA test panel (Supplementary Table S2), suggesting that expanded panel coverage could potentially capture additional useful information, possibly at increased cost, decreased sensitivity, or both. Furthermore, the sensitivity of cfDNA detection in early-stage or resectable disease is also of potential interest, but was not addressed in this advanced-stage cohort. Finally, we highlight biologic scenarios that lead to discordance between tumor DNA and cfDNA sequencing, including convergent, subclonal mutations in the TP53 gene (in patient #68), and a JAK2 mutation in a distinct tissue compartment from the primary pancreatic malignancy (in patient #56). Inaccurately labeling such results as “false negatives/positives” with respect to a given gold standard ignores these important biologic nuances.

cfDNA mutation percentages correlated with clinically accepted tumor marker measurements, suggesting that quantitative cfDNA sequencing provides a useful measure of disease progression or response to therapy. Future studies should focus on the precise kinetics of cfDNA versus protein biomarker changes in response to therapy in pancreatobiliary cancers. In addition, 3 patients with cfDNA mutations had normal CA 19-9 levels, suggesting that cfDNA sequencing could provide both tumor burden and mutational information in select patients who, for whatever reason, do not secrete antigenic tumor markers. Our analyses suggest that changes in tumor-derived cfDNA concentration and tumor marker concentration are closely correlated, and future studies may define unique and complementary uses for each.

The QNS rate for this advanced pancreatobiliary cancer cohort was 35%, which is considerably higher than the average QNS rate reported by commercial vendors across multiple tumor types (24). Due to difficulties in obtaining sufficient tumor genomic DNA from biopsy tissue for NGS, cfDNA testing may provide a substantial advantage for these cancers. In support of this idea, Lebofsky and colleagues included 2 patients with PDA in their comparison of matched tumor DNA and circulating cfDNA on an NGS platform, with encouraging results (25).

The cfDNA sequencing approach has several advantages over biopsy-based NGS or targeted cfDNA profiling by PCR (26). Relative to targeted cfDNA profiling by PCR assays, cfDNA sequencing provides a much broader analytic footprint of the genome (16, 25). In addition to detecting more mutations per patient, copy-number data, and a broader scope of actionable mutations, cfDNA sequencing might also reveal a distinct mutational signature of tumor subclone emergence as drug resistance or disease progression occurs.
Of the 22 patients with cfDNA mutations, 4 had potentially actionable mutations in druggable oncogenes (BRAF, EGFR, or FGFR2). PDA patient #34 harbored a tumor-encoded EGFR exon19 deletion, and was treated with erlotinib based on the results of a biopsy-based test obtained at progression because the primary tumor biopsy was QNS. This is the first report, to our knowledge, of an activating EGFR mutation in PDA responding to erlotinib, and would have been missed with single-gene assays evaluating only KRAS, which is wild-type in this patient. Although the patient eventually experienced clinical benefit from EGFR blockade by erlotinib, this therapy was delayed by 7 months due to failure of the initial tissue biopsy sequencing test ordered by the treating physician. This case illustrates that cfDNA testing can provide actionable findings earlier during patient management and has the potential to reduce or eliminate invasive repeat biopsies when tissue sequencing is unsuccessful.

We achieved an analytic performance (clinical sensitivity and specificity) with this 54-gene NGS panel comparable to (26, 27) or superior to (28) those achieved for single gene (EGFR), PCR-based cfDNA methods in patients with advanced lung cancer. Due to the lack of targeted therapies in pancreatobiliary cancers, acquired drug resistance mutations were not observed, but they remain an important area of interest for cfDNA application in the clinic (29).

Our work highlights a critical discussion point for gastrointestinal oncologists, regarding the appropriate steps required to validate cfDNA analyses as surrogates for tissue analysis across clinical applications. Our results strongly support the clinical accuracy and feasibility of cfDNA testing, but prospective clinical trials with therapeutic decision making based on cfDNA results are needed in the next generation of studies. These trials will offer unprecedented collaborative opportunities among commercial diagnostic and pharmaceutical entities as well as academic and community medical centers.

In conclusion, cfDNA sequencing has promise in advanced pancreatobiliary cancers. The clinical utility of the cfDNA diagnostic approach is evident in the increased detection of actionable mutations versus tissue-based NGS and the fact that many costs and potential complications associated with needle aspiration biopsies are obviated. The ability to reliably report accurate mutation findings over the course of cancer treatment could empower clinical trial execution in this challenging set of diseases. More generally, the approach presented here could augment tumor tissue analysis for a variety of malignancies and take its place for cases where tumor tissue testing fails.

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METHODS

Study Design and Patients

The institutional review board of the University of California, San Francisco (UCSF) Medical Center approved the study. All patients were recruited at a single center (UCSF Medical Center) and provided informed consent under human subjects protocol CCF #13986. All patient studies were conducted in accordance with the Declaration of Helsinki. Diagnostic biopsies were sent for commercial NGS as a part of routine clinical care as described (ref. 24; n = 21) or were sequenced in-house (n = 5). Baseline blood draws were performed as near to time of biopsy as possible (Supplementary Table S1). Results from cfDNA sequencing were not returned to patients, and treatment decisions were not made on cfDNA results. For patient #34, the initial diagnostic tissue biopsy sample failed processing by a commercial vendor. Seven months later, a second, transcytic biopsy was performed, which confirmed progressive disease and was successfully sequenced.

Blood Samples and cfDNA Isolation

Venous blood was collected in Streck tubes during routine phlebotomy, and samples were shipped at room temperature overnight. Blood (10 mL) was processed upon receipt to isolate plasma by centrifugation at 1,600 × g for 10 minutes at 4°C. Plasma was immediately aliquoted and stored at −70°C. cfDNA was extracted from 1 mL aliquots of plasma using the QIAamp circulating nucleic acid kit (Qiagen), concentrated using Agencourt Ampure XP beads (Beckman Coulter), and quantified by Qubit fluorometer (Life Technologies). All cfDNA sequencing and analysis were performed at Guardant Health.

cfDNA Sequencing

Barcoded sequencing libraries were generated from 5 ng to 30 ng of cfDNA. The exons of 54 cancer genes, including all coding exons of 18 genes and the recurrently mutated exons in an additional 36 genes (Supplementary Table S4), were captured using biotinylated custom bait oligonucleotides (Agilent), resulting in a 78,000 base-pair (78 kb) capture footprint. Samples were paired-end sequenced on an Illumina Hi-Seq 2500, followed by algorithmic reconstruction of the digitized sequencing signals as described (R. Lanman et al., accepted for publication). The coverage depth across all coding sequences in all samples averaged approximately 10,000×.

Illumina sequencing reads were mapped to the hg19/GRCh37 human reference sequence, and genomic alterations in cfDNA were identified from Illumina sequencing data by Guardant Health’s proprietary bioinformatics algorithms. These algorithms quantify the absolute number of unique DNA fragments at a given nucleotide position, thereby enabling circulating tumor DNA to be quantitatively measured as a fraction of total cfDNA. The mutant allele fraction for a given mutation was calculated as the fraction of cfDNA molecules harboring that mutation divided by the total number of unique cfDNA molecules mapping to the position of the mutation. The limit of detection for single-nucleotide variants in cfDNA by the Guardant360 assay is 0.1%. The EGFR deletion identified in patient #34 was confirmed by manual examination of sequencing reads in the Integrative Genomics Viewer.

Data Analysis and Accuracy Assessments

Comparisons between tumor and plasma sequencing data were performed using clinical reports generated by Foundation Medicine or research test reports generated by Guardant Health. Mutations called from cfDNA sequencing (plasma) were compared with mutations from tissue biopsy DNA sequencing (tumor), and concordance was calculated for all mutations across the cohort (mutation-level concordance) and for mutations detected in either cfDNA or tumor DNA per patient (patient-level concordance). The total set of mutations from the 17 patients with matched cfDNA and tumor sequencing was tabulated to calculate the total percentage agreement of cfDNA-sequencing versus tumor-sequencing tests. The numbers of cfDNA mutations versus tumor mutations per patient were tabulated, and patients were binned into four categories based on the relative concordance of each set of detected mutations: concordant, partially concordant, TNS, or QNS. The complete set of mutations for the 26 patients in this study is provided in Supplementary Table S2. Sensitivity, specificity, and diagnostic accuracy were calculated (30) by comparing cfDNA mutations with tumor DNA mutations for genes with at least two mutations detected in either type of sequencing test. Subclonal cfDNA mutations were defined as those exhibiting a mutant allele
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fraction less than 20% of the maximum mutant allele fraction in circulation in a given patient. Mutations that were present in minor subclones (TP53, patient #68) or in patients where the tumor was not detected in cfDNA (#69) were not included in the concordance or sensitivity/specificity analysis. An FBXW7 mutation from patient #34 was included in the sensitivity/specificity analysis (Fig. 1B; Table 1). Patient #34 was evaluated for mutation concordance using the progression biopsy because the diagnostic tissue biopsy was QNS. Mutations detected for patient #34 were completely concordant (n = 4) but were not counted in the overall concordance shown in Fig. 2B.

Patient Monitoring Analysis

Eight patients’ tumor markers (e.g., CA 19-9) and cfDNA mutant allele fractions were followed over time by serial blood draws (separate draws for each type of test). The slope of the line connecting each pair of temporally separated CA 19-9 or CA 125 values, or mutant allele fractions (cfDNA percentage), was calculated across all intervals for all patients ([Value B – Value A]/Number of days between Draw A and Draw B]). After excluding three intervals where the cfDNA percentage slope was zero (mutation fell below limit of detection), there were 19 evaluable time intervals in total. Pearson correlations of CA 19-9 versus cfDNA percentage were determined on differences (Value B – Value A), and separately on slopes, across all 19 time intervals from the 8 patients (Supplementary Fig. S1A–S1F; Supplementary Table S3). The binomial test was used to test whether the directions of CA 19-9 change versus cfDNA percentage change coincided more often than chance (50/50) for the 19 intervals.

Disclosure of Potential Conflicts of Interest

C.E. Atreya reports receiving a commercial research grant from GSK and is a consultant/advisory board member for Bayer. T.G. Bivona is consultant at Driver Group and is a consultant/advisory board member for Novartis. A.A. Talasaz has ownership interest (including patents) in Guardant Health, Inc. E.A. Collisson is a consultant/advisory board member for Guardant Health. No potential conflicts of interest were disclosed by the other authors.

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